

Lawvere-Tierney Sheafification in Homotopy Type Theory

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29 June 2015

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In set theory, one can change a model of ZFC into a new model of ZFC satisfying new principles, using the forcing construction [CD66].

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Forcing has a topos-theoretic version: starting from a topos, one can construct a new topos satisfying some new principles, using the *sheafification* process [MM92].

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Then (Grothendieck) sheafification has been extended to higher topos theory [Lur09].

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We will present here a work-in-progress attempt to define an homotopy type theoretic version of this process.

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Let's recall that in a topos, a Lawvere-Tierney topology is an idempotent map $\Omega \rightarrow \Omega$, preserving `true` and products. We notice that it corresponds to a left-exact modality on the subobject classifier Ω .

Then, the sheafification process extend this modality to the whole topos.

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1. Here, we call modality the same thing as in `Type`, but truncated to n -`Type`
2. Sets in HoTT (Rijke-Spitters) tells us we can view `HProp` as an object classifier : Ω will `HProp`, and the topos `HSet`

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We want to follow this idea : from a left exact modality on `HProp`, we will define a left exact modality on all (finite) homotopy levels, by induction on this level.

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We want to follow this idea : from a left exact modality on `HProp`, we will define a left exact modality on all (finite) homotopy levels, by induction on this level.

Recall : Modalities

We use the same notion of modalities as in [Uni13, Section 7.7], but restricted to be on n -truncated types.

Definition

Let $n \geq -1$ be a truncation index. A left exact modality at level n is the data of

- (i) A predicate $P : \text{Type}_n \rightarrow \text{HProp}$
- (ii) For every n -truncated type A , a n -truncated type $\circ A$ such that $P(\circ A)$
- (iii) For every n -truncated type A , a map $\eta_A : A \rightarrow \circ A$ such that
- (iv) For every n -truncated types A and B , if $P(B)$ then

$$\begin{cases} (\circ A \rightarrow B) & \rightarrow & (A \rightarrow B) \\ & f \mapsto & f \circ \eta_A \end{cases}$$

is an equivalence.

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is an equivalence.

(v) for any $A : \text{Type}_n$ and $B : A \rightarrow \text{Type}_n$ such that $P(A)$
and $\prod_{x:A} P(Bx)$, then $P(\sum_{x:A} B(x))$

(vi) for any $A : \text{Type}_n$ and $x, y : A$, if $\circ A$ is contractible,
then $\circ(x = y)$ is contractible.

Conditions (i) to (iv) define a *reflective subuniverse*, (i) to
(v) a *modality*.

Recall: Sheafification in topos

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└└└ Recall: Sheafification in topos

Let j be a Lawvere-Tierney topology on a topos \mathcal{T} , with subobject classifier Ω .

Recall: Sheafification in topos

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$$\begin{array}{ccc}
 \mathcal{T} & \xrightarrow{\{\cdot\}_{\mathcal{T}}} & \Omega^{\mathcal{T}} \\
 & & \downarrow j^{\mathcal{T}} \\
 & & (\Omega_j)^{\mathcal{T}}
 \end{array}$$

Send \mathcal{T} to $\Omega^{\mathcal{T}}$ via the singleton map, then postcompose with $j : \Omega \rightarrow \Omega_j$

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$$\begin{array}{ccc}
 \mathcal{T} & \xrightarrow{\{\cdot\}_{\mathcal{T}}} & \Omega^{\mathcal{T}} \\
 \mu_{\mathcal{T}} \downarrow & & \downarrow j^{\mathcal{T}} \\
 \text{Im}(j^{\mathcal{T}} \circ \{\cdot\}_{\mathcal{T}}) & \xrightarrow{\text{mono}} & (\Omega_j)^{\mathcal{T}}
 \end{array}$$

Compute the image of this map: it is a subobject of $(\Omega_j)^{\mathcal{T}}$

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 & \searrow & \nearrow \\
 & \alpha(\mathcal{T}) \stackrel{\text{def}}{=} \overline{\text{Im}(j^{\mathcal{T}} \circ \{\cdot\}_{\mathcal{T}})} &
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Close this subobject

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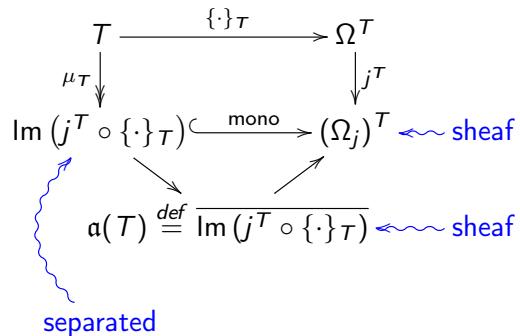
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Close this subobject

Recall: Sheafification in topos

Let j be a Lawvere-Tierney topology on a topos \mathcal{T} , with subobject classifier Ω .



Key points:

- ▶ $(\Omega_j)^{\mathcal{T}}$ has to be a sheaf.
- ▶ A closed subobject of a sheaf should be a sheaf.

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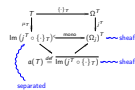
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Recall: Sheafification in topos

Let j be a Lawvere-Tierney topology on a topos \mathcal{T} , with subobject classifier Ω .



- Key points:
- $(\Omega_j)^{\mathcal{T}}$ has to be a sheaf.
 - A closed subobject of a sheaf should be a sheaf.

The predicate “is n -modal” on homotopy level n will be “is a Lawvere-Tierney n -sheaf”, and the required modality will be the n -sheafification.

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The predicate “is n -modal” on homotopy level n will be “is a Lawvere-Tierney n -sheaf”, and the required modality will be the n -sheafification.

1. We do this by induction on the homotopy level n . At the moment, we don't know how to extend it to not truncated types
2. From *Sets in HoTT* (Rijke-Spitters), we know that n -Type can be seen as an object classifier. We will use this property ;
HProp will be a common object classifier for all levels, and n -Type will be an object classifier for $--\text{Type}(n + 1)$ sheafification.

Context

We work in homotopy type theory, i.e, Martin-Löf type theory, with univalence axiom (thus functional extensionality) and higher inductive types (although at the moment, we only need propositional truncation).

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Context

We work in homotopy type theory, i.e, Martin-Löf type theory, with univalence axiom (thus functional extensionality) and higher inductive types (although at the moment, we only need propositional truncation).

Let \circ_{-1} be a left exact modality on \mathbf{HProp} (homotopy level -1), $n \geq -1$ a truncation index, and \circ_n a left exact modality on $n\text{-Type}$ (homotopy level n), coherent with \circ_{-1} :

If $T : \mathbf{HProp}$, then $\circ_n T = \circ_{-1} T$ where we still note T the image of T via the inclusion $\mathbf{HProp} \hookrightarrow n\text{-Type}$.

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There, by cumulativity, T can be seen as a n -Type.

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Questions

When generalizing construction in topos, several questions arises:

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When generalizing construction in topos, several questions arises:

- ▶ Do we generalize subobjects as n -subobjects (maps with n -truncated fibers) or (-1) -subobjects (embeddings) ?

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- ▶ Do we generalize subobjects as n -subobjects (maps with n -truncated fibers) or (-1) -subobjects (embeddings) ?
- ▶ The proof involves kernel pair of a surjection. How to generalize it ?

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- ▶ Do we generalize subobjects as n -subobjects (maps with n -truncated fibers) or (-1) -subobjects (embeddings) ?
- ▶ The proof involves kernel pair of a surjection. How to generalize it ?
- ▶ Do we use usual image, or a n -image arising from n -connected/ n -truncated factorization system ?

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Definition
 Let E be a type. The closure of a subobject of E with m -truncated homotopy fibers (or m -subobject of E , for short), classified by $\chi : E \rightarrow m\text{-Type}$, is the m -subobject of E classified by $\bigcirc_m \circ \chi$.
 An m -subobject of E classified by χ is said to be closed in E if it is equal to its closure, i.e. $\chi = \bigcirc_m \circ \chi$.
 Practically, a m -subobject of E is just $\{e : E \ \& \ \chi \ e\}$, and its closure is $\{e : E \ \& \ \bigcirc_m (\chi \ e)\}$.

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Dense subobject I

Definition

Let E be a type. The closure of a subobject of E with m -truncated homotopy fibers (or m -subobject of E , for short), classified by $\chi : E \rightarrow m\text{-Type}$, is the m -subobject of E classified by $\bigcirc_m \circ \chi$.

An m -subobject of E classified by χ is said to be closed in E if it is equal to its closure, i.e. $\chi = \bigcirc_m \circ \chi$.

Practically, a m -subobject of E is just $\{e : E \ \& \ \chi \ e\}$, and its closure is $\{e : E \ \& \ \bigcirc_m (\chi \ e)\}$.

1. The closure operator is just postcomposition of characteristic with the modality.
2. A is closed in E if its closure is E .

Definition
 Let E be a type, and $\chi : E \rightarrow m\text{-Type}$. The m -subobject of E classified by χ is dense in E when its \circ_m -closure is equivalent to χ_E , i.e.

$$\forall e : E, \circ_m(\chi e) \simeq \mathbf{1}.$$

Practically, a m -subobject A of E is dense if, from the \circ_m point of view, you cannot make a difference between A and E .

Dense subobject II

Definition

Let E be a type, and $\chi : E \rightarrow m\text{-Type}$. The m -subobject of E classified by χ is dense in E when its \circ_m -closure is equivalent to χ_E , i.e,

$$\forall e : E, \circ_m(\chi e) \simeq \mathbf{1}.$$

Practically, a m -subobject A of E is dense if, from the \circ_m point of view, you cannot make a difference between A and E .

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Restriction

Definition

For any type E , characteristic map $\chi : E \rightarrow m\text{-Type}$ and $F : (n + 1)\text{-Type}$, we define

$$\Phi_E^{\chi, m} : (E \rightarrow F) \rightarrow (\{e : E \ \& \ \chi \ e\} \rightarrow F)$$

as the map sending an arrow $f : E \rightarrow F$ to its restriction $f \circ \pi_1$.

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Restriction

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as the map sending an arrow $f : E \rightarrow F$ to its restriction $f \circ \pi_1$.

Requirements

We want a predicate on $(n + 1)$ -Type, which we call *sheaf property*, satisfying:

- ▶ if \circ_n is the identity modality, then everybody should be a sheaf
- ▶ a closed (-1) -subobject of a sheaf should be a sheaf
- ▶ the type of modal n -Type should be a sheaf
- ▶ if T is a sheaf, then $X \rightarrow T$ should be a sheaf, for any X

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- ▶ if $T : X \rightarrow (n + 1)$ -Type such that any $T x$ is a sheaf, then $\prod_{x:X} T x$ should be a sheaf.

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Requirements

We want a predicate on $(n + 1)$ -Type, which we call *sheaf property*, satisfying:

- ▶ if \circ_n is the identity modality, then everybody should be a sheaf
- ▶ a closed (-1) -subobject of a sheaf should be a sheaf
- ▶ the type of modal n -Type should be a sheaf
- ▶ if $T : X \rightarrow (n + 1)$ -Type such that any $T x$ is a sheaf, then $\prod_{x:X} T x$ should be a sheaf.

Sheaves

Following the topos-theoretic idea, we use:

Definition (Sheaves)

A type F of $(n + 1)$ -Type is a $(n + 1)$ -sheaf for any type E and all dense (-1) -subobject $\chi : E \rightarrow (-1)$ -Type, $\Phi_E^{\chi, -1}$ is an equivalence. In other words, the dotted arrow exists and is unique.

$$\begin{array}{ccc}
 \{e : E \ \& \ \chi \ e\} & \xrightarrow{f} & F \\
 \pi_1 \downarrow & \nearrow \exists! & \\
 E & &
 \end{array}$$

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Sheaves

1. Here, we take (-1) -subobjects, because we want every type to be a sheaf for the identity modality.
2. The conditions are not satisfied that way; sheaves are not stable by dependent products.

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Following the topos-theoretic idea, we use:

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A type F of $(n + 1)$ -Type is a $(n + 1)$ -sheaf for any type E and all dense (-1) -subobject $\chi : E \rightarrow (-1)$ -Type, $\Phi_E^{\chi, -1}$ is an equivalence. In other words, the dotted arrow exists and is unique.

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Sheaves

Following the topos-theoretic idea, we use:

Definition (Sheaves)

A type F of $(n + 1)$ -Type is a $(n + 1)$ -sheaf if *it is separated*, and for any type E and all dense (-1) -subobject $\chi : E \rightarrow (-1)$ -Type, $\Phi_E^{\chi, -1}$ is an equivalence. In other words, the dotted arrow exists and is unique.

$$\begin{array}{ccc}
 \{e : E \ \& \ \chi \ e\} & \xrightarrow{f} & F \\
 \pi_1 \downarrow & \nearrow \exists! & \\
 E & &
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1. Here, we take (-1) -subobjects, because we want every type to be a sheaf for the identity modality.
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Sheaves

Following the topos-theoretic idea, we use:

Definition (Sheaves)

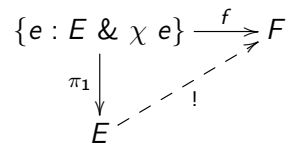
A type F of $(n + 1)$ -Type is a $(n + 1)$ -sheaf if it is separated, and for any type E and all dense (-1) -subobject $\chi : E \rightarrow (-1)$ -Type, $\Phi_E^{\chi, -1}$ is an equivalence. In other words, the dotted arrow exists and is unique.

$$\begin{array}{ccc}
 \{e : E \ \& \ \chi \ e\} & \xrightarrow{f} & F \\
 \pi_1 \downarrow & \nearrow \exists! & \\
 E & &
 \end{array}$$

Separated type

Definition (Separated Type)

A type F in $(n + 1)$ -Type is separated if for any type E , and all dense n -subobject $\chi : E \rightarrow n$ -Type, $\Phi_E^{\chi, n}$ is an embedding. In other words, the dotted arrow, if exists, is unique.



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Separated type

Definition (Separated Type)

A type F in $(n + 1)$ -Type is separated if for any type E , and all dense n -subobject $\chi : E \rightarrow n$ -Type, $\Phi_E^{\chi, n}$ is an embedding. In other words, the dotted arrow, if exists, is unique.



Two steps

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We will proceed in two steps:

- (i) *separation*: From any T in $(n+1)$ -Type, we construct its free separated object $\square_{n+1} T$.
- (ii) *completion*: We add what is missing for the free separated type to be a sheaf by using closure.

We will proceed in two steps:

- (i) *separation*: From any T in $(n+1)$ -Type, we construct its *free separated object* $\square_{n+1} T$.
- (ii) *completion*: We add what is missing for the free separated type to be a sheaf by using closure.

1. Not equivalent with $+$ construction. We define the free separated object, while Grothendieck not.

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Let $T : (n + 1)\text{-Type}$. We define $\square_{n+1} T$ as the image of $\circ_n^T \circ \{\cdot\}_T$, as in

$$\begin{array}{ccc}
 T & \xrightarrow{\{\cdot\}_T} & n\text{-Type}^T \\
 \mu_T \downarrow & & \downarrow \circ_n^T \\
 \square_{n+1} T & \longrightarrow & (n\text{-Type}^\circ)^T
 \end{array}$$

where $\{\cdot\}_T$ is the singleton map $\lambda(t : T)$, $\lambda(t' : T)$, $t = t'$.

$$\begin{array}{ccc}
 T & \xrightarrow{\{\cdot\}_T} & n\text{-Type}^T \\
 \circ_n^T \downarrow & & \downarrow \circ_n^T \\
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We note that, as μ_T is the surjection-embedding factorization, μ_T is indeed a surjection.

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where $\{\cdot\}_T$ is the singleton map $\lambda(t : T), \lambda(t' : T), t = t'$. $\square_{n+1} T$ can be given explicitly by

$$\begin{aligned} \square_{n+1} T &\stackrel{\text{def}}{=} \text{Im}(\lambda t : T, \lambda t', \circ_n(t = t')) \\ &\stackrel{\text{def}}{=} \sum_{u: T \rightarrow n\text{-Type}^\circ} \|\sum_{a: T} (\lambda t, \circ_n(a = t)) = u\|. \end{aligned}$$

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└ The construction

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We note that, as μ_T is the surjection-embedding factorization, μ_T is indeed a surjection.

Let $T : (n + 1)\text{-Type}$. We define $\square_{n+1} T$ as the image of $\circ_n^T \circ \{\cdot\}_T$, as in

$$\begin{array}{ccc} T & \xrightarrow{\{\cdot\}_T} & n\text{-Type}^T \\ \circ_n^T \downarrow & & \downarrow \circ_n^T \\ \square_{n+1} T & \longrightarrow & (n\text{-Type}^\circ)^T \end{array}$$

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At first, we prove that:

Proposition

For any $T : (n + 1)\text{-Type}$, $\square_{n+1} T$ is separated.

1. That's indeed the least we can ask.

At first, we prove that:

Proposition

For any $T : (n+1)\text{-Type}$, $\square_{n+1} T$ is separated.

Then, we want

Theorem

(\square_{n+1}, μ) defines a modality on $(n+1)\text{-Type}$.

1. That's indeed the least we can ask.
2. This actually is the hard part of the construction ; especially the universal property for the reflective subuniverse.

Sketch of proof

In topoi, the proof goes this way:

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Sketch of proof

In topoi, the proof goes this way:

Sketch of proof

In topoi, the proof goes this way:

- ▶ μ_T is a surjection, thus it coequalizes its kernel pair

$$T \times_{\square_{n+1} T} T \begin{array}{c} \xrightarrow{\pi_1} \\ \xrightarrow{\pi_2} \end{array} T \xrightarrow{\mu_T} \square_{n+1} T$$

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In topoi, the proof goes this way:

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Sketch of proof

In topoi, the proof goes this way:

- ▶ μ_T is a surjection, thus it coequalizes its kernel pair

$$T \times_{\square_{n+1} T} T \begin{matrix} \xrightarrow{\pi_1} \\ \xrightarrow{\pi_2} \end{matrix} T \xrightarrow{\mu_T} \square_{n+1} T$$

- ▶ Then $T \times_{\square_{n+1} T} T = \overline{\Delta}$, where $\Delta = \{(x, y) : T^2 \ \& \ x = y\}$. The following is a coequalizer

$$\overline{\Delta} \begin{matrix} \xrightarrow{\pi_1} \\ \xrightarrow{\pi_2} \end{matrix} T \xrightarrow{\mu_T} \square_{n+1} T$$

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$$\overline{\Delta} \begin{matrix} \xrightarrow{\pi_1} \\ \xrightarrow{\pi_2} \end{matrix} T \xrightarrow{\mu_T} \square_{n+1} T$$

Sketch of proof

Then, if Q is any separated type and $f : T \rightarrow Q$, it makes the diagram

$$\overline{\Delta} \begin{array}{c} \xrightarrow{\pi_1} \\ \xrightarrow{\pi_2} \end{array} T \xrightarrow{f} Q$$

commute, thus f factors through $\square_{n+1} T$.

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Sketch of proof

Then, if Q is any separated type and $f : T \rightarrow Q$, it makes the diagram
 $\overline{\Delta} \begin{array}{c} \xrightarrow{\pi_1} \\ \xrightarrow{\pi_2} \end{array} T \xrightarrow{f} Q$
commute, thus f factors through $\square_{n+1} T$.

We would like to use the same idea, replacing the kernel pair by the Čech nerve.

At the moment, we only assumed as an axiom that surjections are colimits of their Čech nerves, seen as graphs. It allows us to finish the proof.

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For any T in $(n+1)$ -Type, $\circ_{n+1} T$ is defined as the closure of $\square_{n+1} T$, seen as a subobject of $T \rightarrow n\text{-Type}^\circ$.

$\circ_{n+1} T$ can be given explicitly by

$$\circ_{n+1} T \stackrel{\text{def}}{=} \sum_{u: T \rightarrow n\text{-Type}^\circ} \circ_{-1} \left\| \sum_{a: T} (\lambda t, \circ_n (a = t)) = u \right\|.$$

$$\circ_{n+1} T \stackrel{\text{def}}{=} \sum_{u: T \rightarrow n\text{-Type}^\circ} \circ_{-1} \left\| \sum_{a: T} (\lambda t, \circ_n (a = t)) = u \right\|.$$

As above, we first prove that:

Proposition

For any $T : (n + 1)$ -Type, $\bigcirc_{n+1} T$ is a sheaf.

It is true because of the requirement we asked about sheaves:

Lemma

Let $X : (n + 1)$ -Type and U be a sheaf. If X embeds in U , and is closed in U , then X is a sheaf.

1. Again, we need this.

As above, we first prove that:

Proposition

For any $T : (n + 1)\text{-Type}$, $\circ_{n+1} T$ is a sheaf.

It is true because of the requirement we asked about sheaves:

Lemma

Let $X : (n + 1)\text{-Type}$ and U be a sheaf. If X embeds in U , and is closed in U , then X is a sheaf.

Then:

Theorem

(\circ_{n+1}, ν) defines a left-exact modality.

1. Again, we need this.
2. This time, it's pretty easy...

Sketch of proof

Let $T, Q : (n + 1)\text{-Type}$ such that Q is a sheaf. Let $f : T \rightarrow Q$. Because Q is a sheaf, it is in particular separated; thus we can extend f to $\square_{n+1} f : \square_{n+1} T \rightarrow Q$.

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But as $\circ_{n+1} T$ is the closure of $\square_{n+1} T$, $\square_{n+1} T$ is dense into $\circ_{n+1} T$, so the sheaf property of Q allows to extend $\square_{n+1} f$ to $\circ_{n+1} f : \circ_{n+1} T \rightarrow Q$.

As all these steps are universal, the composition is.

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Sketch of proof

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Let $T, Q : (n+1)\text{-Type}$ such that Q is a sheaf. Let $f : T \rightarrow Q$. Because Q is a sheaf, it is in particular separated; thus we can extend f to $\square_{n+1} f : \square_{n+1} T \rightarrow Q$.

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again, the modality thing is just technical, and the left-exactness comes from the compatibility.

[Sketch of proof](#)

Let $T, Q : (n+1)\text{-Type}$ such that Q is a sheaf. Let $f : T \rightarrow Q$. Because Q is a sheaf, it is in particular separated; thus we can extend f to $\square_{n+1} f : \square_{n+1} T \rightarrow Q$.

But as $\circ_{n+1} T$ is the closure of $\square_{n+1} T$, $\square_{n+1} T$ is dense into $\circ_{n+1} T$, so the sheaf property of Q allows to extend $\square_{n+1} f$ to $\circ_{n+1} f : \circ_{n+1} T \rightarrow Q$.
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Starting from the left-exact modality $\circlearrowleft_{-1}P = \neg\neg P$, this allows us to build a model satisfying excluded middle for \mathbf{HProp} , without axiom.

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The construction can be written inductively:

$\circ : \forall (n : \text{nat}), n\text{-Type} \rightarrow n\text{-Type}$

• \circ_{-1} is a left exact modality on HProp

• $\circ_{n+1} \stackrel{\text{def}}{=} \lambda T : (n+1)\text{-Type},$

$$\sum_{u: T \rightarrow n\text{-Type}^\circ} \circ_{-1} \left\| \sum_{a: T} u = (\lambda t, \circ_n (a = t)) \right\|$$

Here , the universe level increases strictly at each step, hence it is impossible to take the fixpoint: we would need universes to be indexed by (non-finite) ordinals.

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Here , the universe level increases strictly at each step, hence it is impossible to take the fixpoint: we would need universes to be indexed by (non-finite) ordinals.

The main step to finish the construction is to define Čech nerve in HoTT, as well as the computation of their colimits.

We will rather try to define general simplicial objects.

Simplicial types

Hugo Herbelin [Her14] gives an inductive definition of semi-simplicial types, which can probably be adapted to define simplicial types, but is quite unusable for n -types with $n \geq 4$.

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Make a joke about the previous talk. . .

Simplicial types

Hugo Herbelin [Her14] gives an inductive definition of semi-simplicial types, which can probably be adapted to define simplicial types, but is quite unusable for n -types with $n \geq 4$.

Homotopy type system

One idea is to use homotopy type system, introduced by V.V., to see Type as a model category. Then, we should be able to formalize homotopy colimits in type theory.

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